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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

Application Number 10/500,351 **Filing Date** June 24, 2004 First Named Inventor Henry Daniell Group Art Unit 1638 **Examiner Name Attorney Docket Number** CHL-T107C3Z1

Complete if Known

		NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Initials* No. 1		Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
AK	R1	ALPETER, F. et al. "Accelerated Production of Transgenic Wheat (Triticum aestivum L.) Plants" Plant Cell Rep. 1996, pp. 12-17, Vol. 16.				
	R2	ALPETER, F. et al. "Rapid Production of Transgenic Turfgrass (Festuca rubra L.) Plants", J. Plant Physiol. 2000, pp. 441-448, Vol. 157.				
	R3	JOELLENBECH, L. M. et al. "Anthrax Vaccine Manufacture", The Anthrax Vaccine is it Safe?, 2002, pp. 180-197.				
	R4	MILLAN, A. F., et al. "A Chloroplast Transgenic Approach to Hyper-Express and Purify Human Serum Albumin, a Protein Highly Susceptible to Proteolytic Degradation", <i>Plant biotechnology Journal</i> , 2003, pp. 71-79, Vol. 1.				
	R5	PETRIDES, D. et al. "Computer-Aided process analysis and economic evaluation of for biosynthetic human insulin production" Biotechnology and Bioengineering, 1995, pp. 529-554, Vol. 48, No. 1.				
	R6	SUGITA, M. et al. "Regulation of gene expression in chloroplasts of higher plants" Plant Mol. Biol., October 1996, pp. 315-326, Vol. 32, No. 1-2.				
AK	R7	WILLIAMSON, E.D. et al. "Local and systemic immune response to a microencapsulated sub-unit vaccine for plague" Vaccine, December 1996, pp. 1613-1619, Vol. 14, No. 17-18.				
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Examiner		Date	
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Complete if Known Substitute for form 1449B/PTO **Application Number** 10/500.351 INFORMATION DISCLOSURE June 24, 2004 **Filing Date** STATEMENT BY APPLICANT Henry Daniell First Named Inventor **Group Art Unit** 1638 (use as many sheets as necessary)

Examiner Name Attorney Docket Number CHL-T107C3Z1 of Sheet 1

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
AK	R1	GORDON-KAMM, W. J. et al. "Transgenic Cereals – Zea mays (Maize)", Molecular Improvements of Cereal Crops, 1999, pp. 189-253, Kluwer Academic Publishers, Great Britain, I.K. Vasil (ed.).	
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Sheet	1	of	2	Attorney Docket Number	CHL-T107C3Z1	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
AK	U1	US-5914123 A	06-22-1999	Arntzen et al.	Abstract, Claims	
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Examiner Initials*	Cite No. 1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁴
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Examiner	/Anne Kubelik/	Date	
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AK	R1	AZIZ, M.A. et al. "Expression of protective antigen in transgenic plants: a step towards edible vaccine against anthrax" Biochemical and Biophysical Research Communications, December 6, 2002, pp. 345-351, Vol. 299, No. 3.	
L	R2	GREVICH, J. et al. "Chloroplast genetic engineering: Recent advances and future perspectives" Critical Reviews in Plant Sciences, 2005, pp. 83-107, Vol. 24, No. 2.	
V	R3	WATSON, J. et al. "Expression of Bacillus anthracis protective antigen in transgenic chloroplasts towards the development of an improved vaccine or an edible vaccine" from the 10 th IAPTCB Conference: Congress on In Vitro Biology, June 2002, pp. 64-A, Orlando, Florida. Abstract only.	
AK	R4	WATSON, J. et al. "Expression of Bacillus anthracis protective antigen in transgenic chloroplasts of tobacco, a non-food/deed crop" Vaccine, pp. 4374-4384, October 22, 2004, Vol. 22, No. 31-32.	
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Signature	/Anne Kubelik/	•	Considered	11/28/2006

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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/500,351 INFORMATION DISCLOSURE Filing Date June 25, 2004 STATEMENT BY APPLICANT First Named Inventor Henry Daniell (use as many sheets as necessary) Art Unit 1638 **Examiner Name** Sheet of **Attorney Docket Number** 12

	•	•	U.S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	⁻ U1	US-			
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			PATENT DOC	JMENTS		
Examiner Initials*		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	Γ
	Cite No. 1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	MM-DD-YYYY Applicant of Cited Document		Where Relevant Passages or Relevant Figures Appear	T ¹
AK.	F1	WO 00/03022 A3	01/20/2000	CALGENE LLC .	ALL	
AK	F2	WO 01/72959 A2 (CD-ROM)	10/04/2001	AUBURN UNIVERSITY	ALL	
AK	F3	WO 99/10513 A1 (CD-ROM)	03/04/1999	AUBURN UNIVERSITY	ALL	
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Signature /Anne Kubelik/	Considered	11/28/2006

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Application Number	10/500,351	
Filing Date	June 25, 2004	
First Named Inventor	Henry Daniell	•
Group Art Unit	1638	
Examiner Name		
Attorney Docket Number	CHL-T107C371	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
AK	·R1	AHUJA, N. et al. "Rapid Purification of Recombinant Anthrax-Protective Antigen under Nondenaturing Conditions" Biochemical and Biophysical Research Communications, 2001, pp. 6-11, Vol. 286.	
j	R2	ALTPETER, F. et al. "Generation Of Large Numbers Of Independently Transformed Fertile Perennial Ryegrass (Lolium perenne L.) Plants Of Forage- And Turf Type Cultivars" Mol. Breeding, 2000, pp. 519-528, Vol. 6.	
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ARAKAWA, T. et al. "Expression of cholera Research, 1997, pp. 403-413, Vol. 6.		ARAKAWA, T. et al. "Expression of cholera toxin B subunit oligomers in transgenic potato plants" <i>Transgenic Research</i> , 1997, pp. 403-413, Vol. 6.	
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	R9	BELYAKOV, I. M. et al. "Interplay of Cytokines and Adjuvants in the Regulation of Mucosal and Systemic HIV-Specific CTL" The Journal of Immunology, 2000, pp. 6454-6462, Vol. 165.	
	R10	BERNEMAN, A. et al. "The Specificity Patterns of Human Immunoglobulin G Antibodies in Serum Differ from Those in Autologous Secretions" Infection and Immunity, September 1998, pp. 4163-4168, Vol. 66, No. 9.	
V	R11	BHATNAGAR, R. et al. "Calcium Is Required for the Expression of Anthrax Lethal Toxin Activity in the Macrophagelike Cell Line J774A.1" Infection and Immunity, July 1989, pp. 2107-2114, Vol. 57, No. 7.	
AK	R12	BOCCI, V. "The Oropharyngeal Delivery of Interferons: Where Are We and Where Do We Need to Go?" <i>Journal of Interferon and Cytokine Research</i> , 1999, pp. 859-861, Vol. 19.	

Examiner /Anne Kubelik/	Date Considered	11/28/2006
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Group Art Unit

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Examiner Name Sheet **Attorney Docket Number** 3 of 12 CHL-T107C3Z1

	· ·	NON PATENT LITERATURE DOCUMENTS	
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AK	R13	BOCKMAN DE et al. "Pinocytosis by epithelium associated with lymphoid follicles in the bursa of Fabricius, appendix, and Peyer's patches. An electron microscopic study" American Journal of Anatomy, April 1973, pp. 455-477, Vol. 136, No. 4.	
	R14	BOUVET, J. P. et al. "Stimulation of local antibody production: parenteral or mucosal vaccination?" Trends in Immunology, April 2002, pp. 209-213, Vol. 23, No. 4.	
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	R16	BRADLEY, K. A. et al. "Identification of the cellular receptor for anthrax toxin" Nature, November 8, 2001, pp. 225-229, Vol. 414.	
	R17	BROSSIER, F. et al. "Role of Toxin Functional Domains in Anthrax Pathogenesis" Infection and Immunity, April 2000, pp. 1781-1786, Vol. 68, No. 4.	Γ
	R18	CARDENAS-FREYTAG, L. et al. "Effectiveness of a Vaccine Composed of Heat-Killed Candida albicans and a Novel Mucosal Adjuvant, LT(R192G), against Systemic Candidiasis" Infection and Immunity, February 1999, pp. 826-833, Vol. 67, No. 2.	
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*	R20	CHAUHAN, V. et al. "Constitutive Expression of Protective Antigen Gene of Bacillus anthracis in Escherichia coli" Biochemical and Biophysical Research Communications, 2001, pp. 308-315, Vol. 283.	
·	R21	CHO, MJ. et al. "Production Of Transgenic Tall Fescue And Red Fescue Plants By Particle Bombardment Of Mature Seed-Derived Highly Regenerative Tissues" Plant Cell Rep. 2000, pp. 1084-1089.	
	R22	CHOI, A. H. C. et al. "Antibody-Independent Protection against Rotavirus Infection of Mice Stimulated by Intranasal Immunization with Chimeric VP4 or VP6 Protein" <i>Journal of Virology</i> , September 1999, pp. 7574-7581, Vol. 73, No. 9.	
\vee	R23	CHOI, A. H. C. et al. "Functional Mapping of Protective Domains and Epitopes in the Rotavirus VP6 Protein" Journal of Virology, December 2000, pp. 11574-11580, Vol. 74, No. 24.	
AK	R24	CHONG C. et al. "LT(R192G), a non-toxic mutant of the heat-labile enterotoxin of Escherichia coli, elicits enhanced humoral and cellular immune responses associated with protection against lethal oral challenge with Salmonella spp." Vaccine, 1998, pp. 732-740, Vol. 16, No. 7.	

Examiner /Anne Kubelik/	Date	11/20/2006
Signature	·Considered	11/28/2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Attorney Docket Number	CHL-T107C3Z1

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AK	R25	COULSON, N. M. et al. "Bacillus anthracis protective antigen, expressed in Salmonella typhimurium SL 3261, affords protection against anthrax spore challenge" Vaccine, November 1994, pp. 1395-1401, Vol.12, No. 15.					
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Complete if Known **Application Number** 10/500, 351 **Filing Date** June 25, 2004 **First Named Inventor** Henry Daniell **Group Art Unit** 1638 **Examiner Name**

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Attorney Docket Number Sheet of 12 CHL-T107C3Z1

		NON PATENT LITERATURE DOCUMENTS	
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Application Number	10/500,351	
Filing Date	June 25, 2004	
First Named Inventor	Henry Daniell	
Group Art Unit	1638	
Examiner Name	-	
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Attorney Docket Number	CHL-T107C3Z1		

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Diseases Newsletter, 2000, pp. 1-6, Vol. 1.

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Group Art Unit	1638	
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		NON PATENT LITERATURE DOCUMENTS	
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/500.351 Filing Date June 25, 2004 Henry Daniell First Named Inventor **Group Art Unit** 1638 **Examiner Name**

(use as many sheets as necessary)

Sheet of **Attorney Docket Number** CHL-T107C3Z1

•		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
AK	R109	TITBALL, R. W. et al. "Vaccination against bubonic and pneumonic plague" Vaccine, 2001, pp. 4175-4184, Vol. 19.	
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Sheet

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12

Application Number	10/500,351	
Filing Date	June 25, 2004	
First Named Inventor	Henry Daniell	
Group Art Unit	1638	
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Attorney Docket Number	CHL-T107C3Z1	

		NON PATENT LITERATURE DOCUMENTS		
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AK	R121	XU, J. et al. "Dissection of RNA-mediated ryegrass mosaic virus resistance in fertile transgenic perennial ryegrass (Lollum perenne L.)" The Plant Journal, 2001, pp. 265-274, Vol. 26, No. 3.		
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	R125			
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